



# CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

## SEEC FORM CEP 15

### CITIZENS' ELECTION PROGRAM APPLICATION FOR PUBLIC GRANT DOLLARS

Revised May 2018

**For use by**

**Statewide and General Assembly Candidates  
Participating in the  
Citizens' Election Program**

#### GENERAL INSTRUCTIONS

- Type or print clearly all information in black or blue pen. **Please do not use pencil.**
- Elections Officers are available to answer questions and advise on how to complete this form (860-256-2985).
- Candidates who do not intend to participate in the Citizens' Election Program should not use this form.

#### WHO FILES THIS FORM

A participating candidate who has filed:

1. Candidate Committee Registration (**SEEC Form 1 and 1A**)
2. Affidavit of Intent to Abide by Expenditure Limits and Other Citizens' Election Program Requirements (**SEEC Form CEP 10**)
3. Electronic Funds Transfer Form (**SEEC Form CEP 12**)

A candidate who has filed a Certification of Exemption From Forming a Candidate Committee (**SEEC Form 1 and 1B**) along with a SEEC Form CEP 10, is **not** eligible to receive a public campaign financing grant.

*A candidate who has filed a **SEEC Form CEP 11** — Affidavit of Intent Not to Abide by Expenditure Limits is not eligible to apply for a public campaign financing grant.*

#### WHERE TO FILE THIS FORM

Hand-deliver or mail the completed and signed SEEC Form CEP 15, together with the other parts of the application package to: Connecticut State Elections Enforcement Commission, 20 Trinity Street, Hartford, CT 06106-1628, Attn.: Candidate Services Unit—3rd Floor.

#### WHEN TO FILE THIS FORM

Weekly grant application deadlines begin in mid-May and end in late July for primary candidates and mid-October for candidates not facing a primary. Pursuant to Public Act 11-48, the customary grant application deadline generally falls on **Wednesday**. The Commission has **five** business days to make a grant determination for a General Assembly candidate's grant application, and **ten** business days to determine a statewide office candidate's grant application.

**GRANT APPLICATION DEADLINE AND DETERMINATION CALENDAR  
IS AVAILABLE ON THE SEEC WEBSITE [www.ct.gov/seec](http://www.ct.gov/seec)**

Within the statutory provisions for first and final grant application deadlines, a grant application package may be filed with the Commission on any business day before 5:00 P.M. A grant application package received after 5:00 P.M. on any grant application deadline day will be considered filed for the following weekly application deadline. However, a grant application package received after 5:00 P.M. on the final primary application deadline or final regular election application deadline will **NOT** be accepted.

### WHAT IS A COMPLETE GRANT APPLICATION PACKAGE

Filing a SEEC Form CEP 15 has no effect if the grant application is not timely and complete. A complete application consists of:

1. **SEEC Form CEP 15**, signed by the candidate, treasurer and deputy treasurer (if applicable) no more than three days preceding the day the application package is filed.
2. Initial Itemized Statement accompanying application for public grant (**SEEC Form 30 Campaign Finance Disclosure Statement**). The period covered by the Initial Itemized Statement begins with the first day not covered in the previously filed campaign finance disclosure statement and ends as of three days, two days, or one full day preceding the day the application is filed.
3. Electronic or paper **copies of backup documentation** for all qualifying contributions.
4. **“Buffer check”**: A committee check made out to “CEF” for the amount of any excess qualifying contributions. Report the buffer check as a committee expenditure in Section N “Expenses Paid by Committee” of the SEEC Form 30 in the applicable reporting period.
5. Proof of **ballot access**. The candidate must submit to the Commission documentation demonstrating ballot qualification, such as (for a candidate endorsed by a major party) a copy of the Certificate of Party Endorsement signed by the presiding officer of the convention, filed with and date-stamped by the Office of Secretary of the State.
6. If the candidate had an **exploratory committee** in this cycle, the exploratory committee must dissolve by filing a SEEC Form 5—Notice of Intent to Dissolve and a SEEC Form 30 indicating Termination. The committee must also provide a “carry forward” letter that details assets or debts carried forward from the exploratory committee to the candidate committee. The carry forward letter must be provided even if there are no assets or debts being carried forward.

### COMPLETING THIS FORM

**Please Note:** Whenever *any* information contained on the candidate committee registration (SEEC Form 1 or 1A) changes, the candidate must file an **amended** SEEC Form 1 and 1A signed by the candidate, treasurer and deputy treasurer (if applicable) within **10 days** of the date of the change.

### SECTION A. IDENTIFYING INFORMATION

1. **Election Date:** In *mm/dd/yyyy* format, provide the election date for which the candidate is seeking election to a public office.
2. **Office Sought:** List the name of the public office or position being sought by the candidate as registered with the SEEC Form 1 and 1A.
3. **District Number:** Provide the district number, if applicable, for the public office or position being sought by the candidate.
4. **Candidate Name:** Provide the full name of the candidate (first name, middle initial, last name, suffix) as registered with the SEEC Form 1 and 1A.
5. **Committee Name:** Provide the full name of the committee as registered with the SEEC Form 1 and 1A.
6. **Treasurer Name:** Provide the full name of the Treasurer (first name, middle initial, last name, suffix). This should be the same person who is the appointed Treasurer and properly registered with the SEEC Form 1 and 1A.
7. **Deputy Treasurer Name:** If applicable, provide the full name of the Deputy Treasurer (first name, middle initial, last name, suffix). This should be the same person who is the appointed Deputy Treasurer and properly registered with the SEEC Form 1 and 1A.

**SECTION B. CONTINUATION WITHOUT PREJUDICE — *OPTIONAL***

The Commission recommends that the **candidate** sign and date Section B, which provides the candidate the option of electing a continuance so that if the Commission determines the grant application is not sufficient, the Commission can continue the application without prejudice, permitting the committee the opportunity to fix curable flaws.

If the candidate does not sign and date Section B electing this option, and the Commission determines the grant application is not sufficient, the application will be rejected and the candidate will have to reapply.

**SECTION C. CANDIDATE CERTIFICATION**

The candidate must read each numbered paragraph and then indicate agreement by **initialing** each line. Applications missing initials for any item will not be deemed complete and may delay review of your grant application.

The candidate must sign and date the final page of Section C. The candidate must sign and date no more than three days preceding the day the application package is submitted. *The SEEC Form CEP 15 does not need to be notarized.*

**SECTION D. TREASURER CERTIFICATION**

The campaign treasurer must read each numbered paragraph and then indicate agreement by **initialing** each line. Applications missing initials for any item will not be deemed complete and may delay acceptance of your grant application.

The treasurer must sign and date the final page of Section D. The treasurer must sign and date no more than three days preceding the day the application package is submitted.

**WHEN AMENDMENT IS REQUIRED:** If there is a change of treasurer, the new treasurer (as designated by the candidate on an amended **SEEC Form 1** and **1A**) must file:

1. Amended Affidavit of Intent to Abide by Expenditure Limits and Other Citizens' Election Program Requirements (**SEEC Form CEP 10**), consisting of Sections A and C.
2. Amended Electronic Funds Transfer Form (**SEEC Form CEP 12**)
3. Amended Citizens' Election Program Application for Public Grant Dollars (**SEEC Form CEP 15**), consisting of Section A and Section D.

**SECTION E. DEPUTY TREASURER CERTIFICATION**

If the committee has designated a deputy treasurer, the deputy treasurer must read each numbered paragraph and then indicate agreement by **initialing** each line. Applications missing initials for any item will not be deemed complete and may delay acceptance of your grant application.

The deputy treasurer must sign and date the final page of Section E. The deputy treasurer must sign and date no more than three days preceding the day the application package is submitted.

**WHEN AMENDMENT IS REQUIRED:** If there is a change of deputy treasurer, the new deputy treasurer (as designated by the candidate on an amended **SEEC Form 1** and **1A**) must file:

1. Amended Affidavit of Intent to Abide by Expenditure Limits and Other Citizens' Election Program Requirements (**SEEC Form CEP 10**), consisting of Sections A and D.
2. Amended Electronic Funds Transfer Form (**SEEC Form CEP 12**)
3. Amended Citizens' Election Program Application for Public Grant Dollars (**SEEC Form CEP 15**), consisting of Section A and Section E.

## GRANT APPLICATION CHECKLIST

- ☐ Candidate Committee Registration (**SEEC Form 1 and 1A**)
- ☐ Affidavit of Intent to Abide by Expenditure Limits and Other Citizens' Election Program Requirements (**SEEC Form CEP 10**)
- ☐ Electronic Funds Transfer Form (**SEEC Form CEP 12**)
- ☐ Citizens' Election Program Application for Public Grant Dollars (**SEEC Form CEP 15**)
- ☐ Initial Itemized Statement accompanying application for public grant (**SEEC Form 30 Campaign Finance Disclosure Statement**). The period covered by the Initial Itemized Statement begins with the first day not covered in the previously filed campaign finance disclosure statement and ends as of three days, two days, or one full day preceding the day the application is filed.
- ☐ **TWO** paper copies of backup documentation for all qualifying contributions (received online or in person). Each document relating to each contribution must contain its **sequential identifying number** ("Contribution ID Number") **unique to that contribution**. The ID number on the documentation must match the Contribution ID number recorded in eCRIS's Section B of the SEEC Form 30 itemizing that contribution. Ideally the copy of the Certification Form will have the related contribution check copied at the bottom. All copies should be organized sequentially. Paper copies should neither be stapled nor bound in any manner.
- ☐ If the committee collected contributions via their website, both a comprehensive settlement report (spreadsheet) of all transactions processed through their webpage and a "Grant Application Letter" (which is available at [ct.gov/seec](http://ct.gov/seec)) must be emailed to [public.finance@ct.gov](mailto:public.finance@ct.gov).
- ☐ **"Buffer check"**: A committee check made out to "CEF" for the amount of any excess qualifying contributions. Report the buffer check as a committee expenditure in Section N "Expenses Paid by Committee" of the SEEC Form 30 in the applicable reporting period.
- ☐ Proof of **ballot access**. The candidate must submit to the Commission documentation demonstrating ballot qualification, such as (for a candidate endorsed by a major party) a copy of the Certificate of Party Endorsement signed by the presiding officer of the convention, filed with and date-stamped by the Office of Secretary of the State.
- ☐ If candidate had an **exploratory committee** in this cycle, the exploratory committee must have filed the following before the candidate committee applies for a grant:
  - SEEC Form 5—Notice of Intent to Dissolve;
  - SEEC Form 30—Campaign Finance Disclosure Statement: "Termination";
  - "Carry Forward" letter that details assets or debts carried forward from the exploratory

**SEEC FORM CEP 15**

**Citizens' Election Program-Application for Grant**  
**CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION**  
Revised May 2018



For Internal Use ONLY

CODE:

REVIEWED BY:

APPLICATION TYPE

☐ INITIAL☐ AMENDED

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## CITIZENS' ELECTION PROGRAM APPLICATION FOR PUBLIC GRANT DOLLARS

### *Statewide and General Assembly Candidates*

The candidate and the campaign treasurer must each review all information provided on this Application, and sign or initial the designated lines. Any deputy treasurer designated by the candidate must complete and sign the Deputy Treasurer Certification.

### SECTION A. Identifying Information

<b>1. ELECTION DATE</b>	<b>2. OFFICE SOUGHT</b>	<b>3. DISTRICT NUMBER</b>
(mm/dd/yyyy)		(If applicable)

#### 4. CANDIDATE NAME

First Name	MI	Last Name	Suffix
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#### 5. COMMITTEE NAME

#### 6. TREASURER NAME

First Name	MI	Last Name	Suffix
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#### 7. DEPUTY TREASURER NAME

First Name	MI	Last Name	Suffix
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### SECTION B. Continuation Without Prejudice — *OPTIONAL*

If, upon review, the application is not yet sufficient to qualify for payment of a grant, the candidate hereby requests that the Commission delay its determination, and continue the matter without prejudice pending further review of a supplemental submission filed in accordance with the schedule included in Public Act 11-48, § 294, amending General Statutes § 9-706.

\_\_\_\_\_  
CANDIDATE SIGNATURE\_\_\_\_\_  
DATE (mm/dd/yyyy)



## SECTION C. Candidate Certification

**The candidate must read each paragraph below, provide any and all information requested, and indicate agreement to each numbered paragraph by writing his/her initials at each number below. Applications missing the initials for any item will not be deemed complete.**

1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that as a candidate who has elected to participate in the Citizens' Election Program (the "Program"), I understand my obligations to abide by and will abide by the Program's requirements, including the Program's expenditure limits.

*Initials*
2. I certify that I understand that I am required to read, understand, and comply with the requirements of the Program, including all applicable statutes, regulations, and/or declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations relating to the Program may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the Connecticut General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction.

*Initials*
3. I certify that either I have not formed an exploratory committee in this election cycle, or if an exploratory committee was formed in this election cycle, any assets or debts carried forward from the exploratory committee to this candidate committee have been disclosed in a "carry forward" letter filed with the Commission. I certify that if an exploratory committee was formed in this election cycle which had no debts or assets carried forward from the exploratory to the candidate's candidate committee, that this fact has been disclosed in a "carry forward" letter filed with the Commission.

*Initials*
4. I certify that my candidate committee has received the required amount of qualifying contributions. I further certify that my candidate committee has returned or transmitted to the Citizens' Election Fund all contributions or portions of contributions that do not meet the criteria for qualifying contributions under General Statutes § 9-704 and transmitted all excess qualifying contributions to the Citizens' Election Fund. I further certify that my candidate committee will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of General Statutes § 9-607(g), together with any regulations adopted by the SEEC under General Statutes § 9-706(e).

*Initials*
5. I certify that either I have not accepted any contribution or contributions that exceed or exceeds in the aggregate the applicable contribution limit set forth in Chapter 157 of the Connecticut General Statutes, or I have returned any previously accepted contribution, portion of a contribution, or contributions that exceed or exceeds in the aggregate the applicable contribution limit set forth in Chapter 157 of the Connecticut General Statutes. I further certify that my candidate committee has repaid all moneys borrowed on behalf of the campaign, as required by General Statutes § 9-710(b).

*Initials*
6. I certify that I have not accepted or have returned any previously accepted contributions from any sources not authorized under Chapter 157 of the Connecticut General Statutes, including contributions from individuals who do not include names and addresses. I further certify that either my committee has not received any impermissible in-kind contributions (including coordinated expenditures) from any committee or person, or, if my committee has received any in-kind contributions (including coordinated expenditures), the committee has addressed this with Commission staff and has properly refunded or disgorged (or taken any other applicable steps), and disclosed all such contributions. I certify that my committee will not receive any such contributions (including coordinated expenditures) which are prohibited by the Program. I further certify that I understand that the definition of independent expenditure means an expenditure that is wholly or totally made without the consent, coordination, or consultation of a candidate or committee or agent of the candidate or committee.

*Initials*

*Candidate Certification continued on page 3-4*

**SECTION C. Candidate Certification** *continued*

- \_\_\_\_\_  
Initials
7. I certify that I have not solicited or received any contributions for any committee to benefit my candidacy, or authorized any other person to solicit or receive such contributions for any committee, other than qualifying contributions for my candidate committee.
- \_\_\_\_\_  
Initials
8. I certify that I agree to abide by all other applicable requirements relating to Chapters 155 and 157 of the Connecticut General Statutes and SEEC regulations, including requirements for campaign finance disclosure statements and recordkeeping. I certify that I agree to maintain and furnish all records required by the SEEC and to fully participate in the SEEC's review process.
- \_\_\_\_\_  
Initials
9. I certify that the authorized candidate committee designated in Section A is my sole candidate committee for the election cycle designated in Section A. The candidate committee is (i) the only committee authorized by me to aid or otherwise take part in the election covered by this Application; (ii) is not an authorized committee of any other candidate; and (iii) has not been, is not, and will not be, authorized or otherwise active for any election other than the election covered by this Application. I further certify that all moneys received from the Citizens' Election Fund will be deposited upon receipt into the sole authorized depository account of my sole candidate committee.
- \_\_\_\_\_  
Initials
10. I certify that I understand that if I have established or control a political committee (i.e., a personal PAC), such political committee has not and will not make any contributions or expenditures to promote or benefit my campaign.
- \_\_\_\_\_  
Initials
11. I certify that I understand that my completing and filing this Application is a condition for qualifying to receive public funds for the election cycle designated in Section A, and that other conditions specified in the applicable statutes and regulations relating to the Program must be satisfied before I may be eligible to receive public funds pursuant to Chapter 157 of the Connecticut General Statutes.
- \_\_\_\_\_  
Initials
12. I certify that I understand that my residential address, the candidate committee's address, and the treasurer's residential address, including the e-mail addresses of the candidate and treasurer as reported in the candidate committee registration (SEEC Form 1 and 1A), are the addresses to which legal notices and other communications, including correspondence and legal papers, will be sent. I further understand and agree that if any of these addresses, including telephone numbers and/or e-mail addresses, change in any way, I am responsible for promptly notifying the SEEC, in writing, by filing an amended registration form, of any such changes to these addresses not later than ten calendar days of any such change.
- \_\_\_\_\_  
Initials
13. I certify that I understand that if my candidate committee receives a grant, and exceeds any applicable expenditure limit, in addition to any penalties that may be assessed, the SEEC may require that all grant funds received by the committee be returned to the Citizens' Election Fund, and the committee may not be eligible to receive any additional public funds for the election.

Candidate Certification continued on page 4

**SECTION C. Candidate Certification** *continued*

- \_\_\_\_\_  
*Initials*
14. I certify that I understand that I am responsible to have general knowledge and oversight of the actions and conduct of my candidate committee, including knowledge and oversight of contributions made to my candidate committee, moneys deposited into my candidate committee's authorized depository account, expenditures made or incurred by or on behalf of my candidate committee, and disclosure obligations of my treasurer.
- \_\_\_\_\_  
*Initials*
15. I certify that I understand that intentionally making a false written statement pursuant to a form bearing notice, authorized by law, which I do not believe to be true and which is intended to mislead a public servant in the performance of his or her official function, is a Class A misdemeanor pursuant to General Statutes § 53a-157b(a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.
- \_\_\_\_\_  
*Initials*
16. I certify that all outstanding civil penalties or forfeitures assessed pursuant to Chapters 155 to 157, inclusive, of the General Statutes, against my current or any former committee of mine have been paid, provided (A) if I am seeking nomination for or election to statewide office (Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer), any such penalty or forfeiture was assessed not later than 24 months prior to the submission of my grant application; or (B) if I am seeking nomination for or election to the office of state senator or state representative, any such penalty or forfeiture was assessed not later than 12 months prior to the submission of my grant application.
- \_\_\_\_\_  
*Initials*
17. I certify that I have not been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, (A) any criminal offense under Title 9 of the General Statutes, or that at least eight years have elapsed from the date of any conviction, or plea or the completion of any sentence, without a subsequent conviction of or plea to another such offense; or (B) a felony related to my public office, other than an offense described in subsection A above.
- \_\_\_\_\_  
*Initials*
18. I certify that I have never been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, a felony related to my public office, other than a criminal offense under Title 9 of the General Statutes in accordance with and as described in Certification 17 of Candidate Certifications of this form.

**I hereby swear, under penalty of false statement, that the above certifications are true and complete.**

\_\_\_\_\_  
CANDIDATE SIGNATURE

\_\_\_\_\_  
DATE (mm/dd/yyyy)





## SECTION D. Treasurer Certification

**The treasurer must read each paragraph below, provide any and all information requested, and indicate agreement to each numbered paragraph by writing his/her initials at each**

- \_\_\_\_\_ 1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby  
*Initials* affirm, certify, and state that as the treasurer for a candidate who has elected to participate in the Citizens' Election Program (the "Program"), I understand my obligations to abide by and will abide by the Program's requirements, including the Program's expenditure limits.
- \_\_\_\_\_ 2. I certify that I understand that I am required to read, understand, and comply with the requirements of the  
*Initials* Program, including all applicable statutes, regulations, and/or declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations relating to the Program may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the Connecticut General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction.
- \_\_\_\_\_ 3. I certify that either the candidate has not formed an exploratory committee in this election cycle, or if an  
*Initials* exploratory committee was formed in this election cycle, any assets or debts carried forward from the exploratory committee to this candidate committee have been disclosed in a "carry forward" letter filed with the Commission. I certify that if an exploratory committee was formed in this election cycle which had no debts or assets carried forward from the exploratory to the candidate's candidate committee, that this fact has been disclosed in a "carry forward" letter filed with the Commission.
- \_\_\_\_\_ 4. I certify that the candidate committee named in Section A has received the required amount of qualifying  
*Initials* contributions. I further certify that the candidate committee has returned or transmitted to the Citizens' Election Fund all contributions or portions of contributions that do not meet the criteria for qualifying contributions under General Statutes § 9-704 and transmitted all excess qualifying contributions to the Citizens' Election Fund. I further certify that the candidate committee named in Section A will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of General Statutes § 9-607(g), together with any regulations adopted by the SEEC under General Statutes § 9-706(e).
- \_\_\_\_\_ 5. I certify that either I have not accepted any contribution or contributions that exceed or exceeds in the  
*Initials* aggregate the applicable contribution limit set forth in Chapter 157 of the Connecticut General Statutes, or I have returned any previously accepted contribution, portion of a contribution, or contributions that exceed or exceeds in the aggregate the applicable contribution limit set forth in Chapter 157 of the Connecticut General Statutes. I further certify that the candidate committee named in Section A has repaid all moneys borrowed on behalf of the campaign, as required by General Statutes § 9-710(b).
- \_\_\_\_\_ 6. I certify that I have not accepted or have returned any previously accepted contributions from any sources  
*Initials* not authorized under Chapter 157 of the Connecticut General Statutes, including contributions from individuals who do not include names and addresses. I further certify that either the candidate committee named in Section A has not received any impermissible in-kind contributions (including coordinated expenditures) from any committee or person, or, if the candidate committee has received any in-kind contributions (including coordinated expenditures), the committee has addressed this with Commission staff and has properly refunded or disgorged (or taken any other applicable steps), and disclosed all such contributions. I certify that the candidate committee named in Section A will not receive any such contributions (including coordinated expenditures) which are prohibited by the Program. I further certify that I understand that the definition of independent expenditure means an expenditure that is wholly or totally made without the consent, coordination, or consultation of a candidate or committee or agent of the candidate or committee.

*Treasurer Certification continued on page 6-7*



## SECTION D. Treasurer Certification

- \_\_\_\_\_  
Initials
7. I certify that I have not solicited or received any contributions to benefit the candidacy of the candidate who formed the candidate committee named in Section A, or authorized any other person to solicit or receive such contributions, other than qualifying contributions for the candidate committee named in Section A.
- \_\_\_\_\_  
Initials
8. I certify that I understand that if I have established or control a political committee, such political committee cannot make any contributions or expenditures to promote or benefit the candidate committee named in Section A.
- \_\_\_\_\_  
Initials
9. I certify that I agree to abide by all other applicable requirements relating to Chapters 155 and 157 of the Connecticut General Statutes and SEEC regulations, including requirements for campaign finance disclosure statements and recordkeeping. I certify that I agree to maintain and furnish all records required by the SEEC and to fully participate in the SEEC's review process.
- \_\_\_\_\_  
Initials
10. I certify that all moneys received from the Citizens' Election Fund will be deposited upon receipt into the sole authorized depository account of the candidate committee designated in Section A.
- \_\_\_\_\_  
Initials
11. I certify that I understand that my completing and filing this Application is a condition for qualifying to receive public funds for the election cycle designated in Section A, and that other conditions specified in the applicable statutes and regulations relating to the Program must be satisfied before the candidate committee may be eligible to receive public funds pursuant to Chapter 157 of the Connecticut General Statutes.
- \_\_\_\_\_  
Initials
12. I certify that I understand that my residential address, the candidate committee's address, and the candidate's residential address, including the e-mail addresses of the candidate and treasurer as reported in the candidate committee registration (SEEC Form 1 and 1A), are the addresses to which legal notices and other communications, including correspondence and legal papers, will be sent. I further understand and agree that if any of these addresses, including telephone numbers and/or e-mail addresses, change in any way, I am responsible for promptly notifying the SEEC, in writing, and that the candidate must file an amended registration form, of any such changes to these addresses not later than ten calendar days of any such change.
- \_\_\_\_\_  
Initials
13. I certify that I understand that if the candidate committee receives a grant, and exceeds any applicable expenditure limit, in addition to any penalties that may be assessed, the SEEC may require that all grant funds received by the committee be returned to the Citizens' Election Fund, and the committee may not be eligible to receive any additional public funds for the election.

*Treasurer Certification continued on page 7*

**SECTION D. Treasurer Certification** *continued*

- \_\_\_\_\_  
*Initials* 14. I certify that if the candidate withdraws from the campaign, becomes ineligible or dies during the campaign, the candidate committee will return to the SEEC, for deposit in the Citizens' Election Fund, all moneys the committee received from the Fund and which the candidate committee has not spent as of the date of such candidate withdrawal, ineligibility or death.
- \_\_\_\_\_  
*Initials* 15. I certify that I understand that intentionally making a false written statement pursuant to a form bearing notice, authorized by law, which I do not believe to be true and which is intended to mislead a public servant in the performance of his or her official function, is a Class A misdemeanor pursuant to General Statutes § 53a-157b(a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.
- \_\_\_\_\_  
*Initials* 16. I certify that I have not been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, any (A) felony involving fraud, forgery, larceny, embezzlement or bribery, or (B) criminal offense under Title 9 of the General Statutes, or that at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without a subsequent conviction of or plea to another such felony or offense.
- \_\_\_\_\_  
*Initials* 17. I certify that I have paid any civil penalties or forfeitures assessed pursuant to chapters 155 to 157, inclusive.
- \_\_\_\_\_  
*Initials* 18. I certify that I am not barred from serving as treasurer by order of the State Elections Enforcement Commission.

**I hereby swear, under penalty of false statement, that the above certifications are true and complete.**

\_\_\_\_\_  
TREASURER SIGNATURE

\_\_\_\_\_  
DATE (mm/dd/yyyy)



## SECTION E. Deputy Treasurer Certification

**The deputy treasurer must read each paragraph below, provide any and all information requested, and indicate agreement to each numbered paragraph by writing his/her initials at each number below. Applications missing initials for any item will not be deemed complete.**

**In the event I become responsible for discharging any of the duties required of the treasurer:**

- \_\_\_\_\_ 1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that as the deputy treasurer for a candidate who has elected to participate in the Citizens' Election Program (the "Program"), I understand my obligations to abide by and will abide by the Program's requirements, including the Program's expenditure limits.

*Initials*
- \_\_\_\_\_ 2. I certify that I understand that I am required to read, understand, and comply with the requirements of the Program, including all applicable statutes, regulations, and/or declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations relating to the Program may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the Connecticut General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction.

*Initials*
- \_\_\_\_\_ 3. I certify that either the candidate has not formed an exploratory committee in this election cycle, or if an exploratory committee was formed in this election cycle, any assets or debts carried forward from the exploratory committee to this candidate committee have been disclosed in a "carry forward" letter filed with the Commission. I certify that if an exploratory committee was formed in this election cycle which had no debts or assets carried forward from the exploratory to the candidate's candidate committee, that this fact has been disclosed in a "carry forward" letter filed with the Commission.

*Initials*
- \_\_\_\_\_ 4. I certify that the candidate committee named in Section A has received the required amount of qualifying contributions. I further certify that the candidate committee has returned or transmitted to the Citizens' Election Fund all contributions or portions of contributions that do not meet the criteria for qualifying contributions under General Statutes § 9-704 and transmitted all excess qualifying contributions to the Citizens' Election Fund. I further certify that the candidate committee named in Section A will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of General Statutes § 9-607(g), together with any regulations adopted by the SEEC under General Statutes § 9-706(e).

*Initials*
- \_\_\_\_\_ 5. I certify that either I have not accepted any contribution or contributions that exceed or exceeds in the aggregate the applicable contribution limit set forth in Chapter 157 of the Connecticut General Statutes, or I have returned any previously accepted contribution, portion of a contribution, or contributions that exceed or exceeds in the aggregate the applicable contribution limit set forth in Chapter 157 of the Connecticut General Statutes. I further certify that the candidate committee named in Section A has repaid all moneys borrowed on behalf of the campaign, as required by General Statutes § 9-710(b).

*Initials*

*Deputy Treasurer Certification continued on page 9-10*

**SECTION E. Deputy Treasurer Certification** *continued*

- \_\_\_\_\_ 6. I certify that I have not accepted or have returned any previously accepted contributions from any sources  
*Initials* not authorized under Chapter 157 of the Connecticut General Statutes, including contributions from individuals who do not include names and addresses. I further certify that either the candidate committee named in Section A has not received any impermissible in-kind contributions (including coordinated expenditures) from any committee or person, or, if the candidate committee has received any in-kind contributions (including coordinated expenditures), the committee has addressed this with Commission staff and has properly refunded or disgorged (or taken any other applicable steps), and disclosed all such contributions. I certify that the candidate committee named in Section A will not receive any such contributions (including coordinated expenditures) which are prohibited by the Program. I further certify that I understand that the definition of independent expenditure means an expenditure that is wholly or totally made without the consent, coordination, or consultation of a candidate or committee or agent of the candidate or committee.
- \_\_\_\_\_ 7. I certify that I have not solicited or received any contributions to benefit the candidacy of the candidate  
*Initials* who formed the candidate committee named in Section A, or authorized any other person to solicit or receive such contributions, other than qualifying contributions for the candidate committee named in Section A.
- \_\_\_\_\_ 8. I certify that I understand that if I have established or control a political committee, such political  
*Initials* committee cannot make any contributions or expenditures to promote or benefit the candidate committee named in Section A.
- \_\_\_\_\_ 9. I certify that I agree to abide by all other applicable requirements relating to Chapters 155 and 157 of the  
*Initials* Connecticut General Statutes and SEEC regulations, including requirements for campaign finance disclosure statements and recordkeeping. I certify that I agree to maintain and furnish all records required by the SEEC and to fully participate in the SEEC's post-election review process.
- \_\_\_\_\_ 10. I certify that all moneys received from the Citizens' Election Fund will be deposited upon receipt into the  
*Initials* sole authorized depository account of the candidate committee designated in Section A.
- \_\_\_\_\_ 11. I certify that I understand that my completing and filing this Application is a condition for qualifying to  
*Initials* receive public funds for the election cycle designated in Section A, and that other conditions specified in the applicable statutes and regulations relating to the Program must be satisfied before the candidate committee may be eligible to receive public funds pursuant to Chapter 157 of the Connecticut General Statutes.
- \_\_\_\_\_ 12. I certify that I understand that my residential address, the candidate committee's address, and the  
*Initials* candidate's residential address, including the e-mail addresses as reported in the candidate committee registration (SEEC Form 1 and 1A), are the addresses to which legal notices and other communications, including correspondence and legal papers, will be sent. I further understand and agree that if any of these addresses, including telephone numbers and/or e-mail addresses, change in any way, I am responsible for promptly notifying the SEEC, in writing, and that the candidate must file an amended registration form, of any such changes to these addresses not later than ten calendar days of any such change.

*Deputy Treasurer Certification continued on page 10*

**SECTION E. Deputy Treasurer Certification** *continued*

- \_\_\_\_\_  
Initials 13. I certify that I understand that if the candidate committee receives a grant, and exceeds any applicable expenditure limit, in addition to any penalties that may be assessed, the SEEC may require that all grant funds received by the committee be returned to the Citizens' Election Fund, and the committee may not be eligible to receive any additional public funds for the election.
- \_\_\_\_\_  
Initials 14. I certify that if the candidate withdraws from the campaign, becomes ineligible or dies during the campaign, the candidate committee will return to the SEEC, for deposit in the Citizens' Election Fund, all moneys the committee received from the Fund and which the candidate committee has not spent as of the date of such candidate withdrawal, ineligibility or death.
- \_\_\_\_\_  
Initials 15. I certify that I understand that intentionally making a false written statement pursuant to a form bearing notice, authorized by law, which I do not believe to be true and which is intended to mislead a public servant in the performance of his or her official function, is a Class A misdemeanor pursuant to General Statutes § 53a-157b(a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.
- \_\_\_\_\_  
Initials 16. I certify that I have not been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, any (A) felony involving fraud, forgery, larceny, embezzlement or bribery, or (B) criminal offense under Title 9 of the General Statutes, or that at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without a subsequent conviction of or plea to another such felony or offense.
- \_\_\_\_\_  
Initials 17. I certify that I have paid any civil penalties or forfeitures assessed pursuant to chapters 155 to 157, inclusive.
- \_\_\_\_\_  
Initials 18. I certify that I am not barred from serving as deputy treasurer by order of the State Elections Enforcement Commission.

**I hereby swear, under penalty of false statement, that the above certifications are true and complete.**

\_\_\_\_\_  
DEPUTY TREASURER SIGNATURE

\_\_\_\_\_  
DATE (mm/dd/yyyy)